



## UNITED STATES PATENT AND TRADEMARK OFFICE

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 United States Patent and Trademark Office  
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 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/788,821	02/27/2004	Dean A. MacFarland	ALTU-1210

28584  
 STALLMAN & POLLOCK LLP  
 SUITE 2200  
 353 SACRAMENTO STREET  
 SAN FRANCISCO, CA 94111

RECEIVED

JUN 01 2004

STALLMAN &amp; POLLOCK LLP

CONFIRMATION NO. 6930

FORMALITIES LETTER



\*OC000000012809345\*

Date Mailed: 05/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/20/2004 HDEESS1 00000031 10788821

FILED UNDER 37 CFR 1.53(b)

01 FC:2051

65.00 DP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch). See Figures(s) 4-9B, 17.
  - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 2A, 3.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity



- \$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

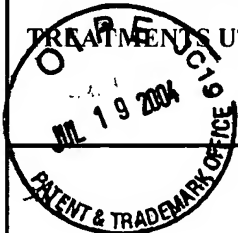
**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
ALTU-1210

In Re Application Of: **Dean A. MacFarland et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/788,821	02/27/2004	Unknown	28584	3762	6930

Invention: **SYSTEM AND METHOD FOR FLEXIBLE ARCHITECTURE FOR DERMATOLOGIC  
TREATMENTS UTILIZING MULTIPLE LIGHT SOURCES**



Mail Stop Missing Parts

COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
May 28, 2004  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ One verified small entity declaration(s)
  - ☐ is/are attached.
  - ☒ was/were filed on February 27, 2004
- ☐ A separate request for refund.
- ☒ Other (list):

**Submission of Formal Drawings in Response to Notice to File Missing Parts of Nonprovisional Application  
Mailed May 28, 2004 (including 13 sheets of replacement formal drawings)**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
ALTU-1210

In Re Application Of: **Dean A. MacFarland et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/788,821	02/27/2004	Unknown	28584	3762	6930

Invention: **SYSTEM AND METHOD FOR FLEXIBLE ARCHITECTURE FOR DERMATOLOGIC  
TREATMENTS UTILIZING MULTIPLE LIGHT SOURCES**

TO THE COMMISSIONER FOR PATENTS:

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☒ Completion of application fees as calculated below:

- |   |                |
|---|----------------|
| <input type="checkbox"/> Utility application filing fee   | _____          |
| <input type="checkbox"/> Design application filing fee  | _____          |
| <input type="checkbox"/> Total number of independent claims = _____   | _____          |
| <input type="checkbox"/> Total number of claims = _____   | _____          |
| <input type="checkbox"/> Multiple dependent claims  | _____          |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <b>\$65.00</b> |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               | _____          |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      | _____          |
| <input type="checkbox"/> Fee for processing and retention of application  | _____          |

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due **\$65.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
ALTU-1210

In Re Application Of: Dean A. MacFarland et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/788,821	02/27/2004	Unknown	28584	3762	6930


Invention: **SYSTEM AND METHOD FOR FLEXIBLE ARCHITECTURE FOR DERMATOLOGIC  
TREATMENTS UTILIZING MULTIPLE LIGHT SOURCES**

TO THE COMMISSIONER FOR PATENTS:

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The fee of \$65.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1703
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-1703

  
Signature

Brian J. Keating (Reg. No. 39,520)

**STALLMAN & POLLOCK LLP**  
353 Sacramento Street, Suite 2200  
San Francisco, CA 94111

Telephone: (415) 772-4900  
Facsimile: (415) 398-2890

Dated: July 16, 2004

I certify that this document and fee is being deposited  
on 07/16/2004 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450.

  
Signature of Person Mailing Correspondence

Georgia K. Stith

Typed or Printed Name of Person Mailing Correspondence

CC:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Dean A. MacFarland et al.

Application No.: 10/788,821

Filed: February 27, 2004

For: SYSTEM AND METHOD FOR  
FLEXIBLE ARCHITECTURE FOR  
DERMATOLOGIC TREATMENTS  
UTILIZING MULTIPLE LIGHT  
SOURCES

Confirmation No.: 6930

Group Art Unit: 3762

Examiner: Unknown

**SUBMISSION OF FORMAL DRAWINGS  
IN RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL  
APPLICATION MAILED MAY 28, 2004**

353 Sacramento Street, Suite 2200  
San Francisco, CA 94111  
(415) 772-4900

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 16, 2004.

M/S MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STALLMAN &amp; POLLOCK LLP

Dated: 07/16 /2004By: Georgia K. Smith

Georgia K. Smith

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, attached for filing in the above-reference application are thirteen (13) sheets of formal drawings to replace the original drawings filed on February 27, 2004.

Respectfully submitted,

STALLMAN &amp; POLLOCK LLP

Dated: July 16, 2004By: Brian J. Keating

Brian J. Keating  
Reg. No. 39,520

Attorneys for Applicant(s)